

12-07-01

A

12/03/01
 J1064 U.S. PTO

**NON-PROVISIONAL
 UTILITY PATENT APPLICATION
 TRANSMITTAL - 37 CFR 1.53(b)**

☐ Duplicate
 (check, if applicable)

Assistant Commissioner for Patents
BOX PATENT APPLICATION
 Washington, DC 20231

Attorney Docket No. 048626-5003-01
 First Named Inventor: Redei
 Express Mail No.: EL719365775U
 Total Pages of Transmittal Form: 2

JCS, PTO
 10/004970
 12/03/01

Transmitted herewith for filing is the non-provisional utility patent application entitled:

ANTIDEPRESSANT EFFECTS OF CORTICOTROPIN RELEASE INHIBITING FACTOR

which is:

an ☐ Original; or

a ☐ Continuation, ☒ Divisional, or ☐ Continuation-in-part (CIP)

of prior Application No. 09/366,981 filed August 4, 1999.

Anticipated Group/Art Unit: _____ or Class _____, Subclass _____.

☒ This non-provisional patent application is based on Provisional Patent Application No. 60/140,962, filed June 24, 1999.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 30 pages.
- ☐ Application Data Sheet.
- ☐ Newly executed/unexecuted Declaration (original/copy).
- ☒ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ sheets of drawings (formal) plus one copy.
- ☐ Microfiche computer program (Appendix).
- ☒ Nucleotide and/or Amino Acid Sequence Submission, including:
 - ☐ Computer readable copy ☒ Paper Copy ☐ Verified Statement.

Please transfer the diskette version of the Sequence Listing from the parent application to the present application.

- ☐ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: Northwestern University
- ☐ Certified copy(ies) of _____ Application No(s). _____ filed _____ is/are filed:
 - ☐ herewith or ☐ in prior application &@.
- ☒ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☒ a Non-Profit Organization.
- ☒ Preliminary Amendment.
- ☒ Information Disclosure Statement and PTO-1449. Copies of the references cited are not included as they have been filed in the parent application.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)

☐ Other:

The filing fee is calculated as follows:

| | | | SMALL ENTITY | | | LARGE ENTITY | |
|---------------------------------------|-----------|-----------|--------------|------------------|----|--------------|-----------|
| CLAIMS | NO. FILED | NO. EXTRA | BASIC FEE: | | | BASIC FEE: | |
| | | | \$370 | | | \$740 | |
| Total | 12-20 = | 0 | X9 | \$ | OR | X18 | \$ |
| Independent | 2-3 = | 0 | X42 | \$ | OR | X84 | \$ |
| [] Multiple Dependent Claims Present | | | \$140 | \$ | OR | \$280 | \$ |
| | | | TOTAL | \$ 370.00 | OR | TOTAL | \$ |

- ☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- ☒ A check in the amount of \$370.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-0310 (Billing No. 048626-5003)** as noted below.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$ _____ as calculated above.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

CORRESPONDENCE ADDRESS:

December 3, 2001
(Date)

By:



KATHRYN DOYLE, Ph.D, J.D.

Registration No. 36,317

MORGAN, LEWIS & BOCKIUS, LLP

1701 Market Street

Philadelphia, PA 19103-2921

Telephone: (215) 963-5000

Direct Dial: (215) 963-4723

Facsimile: (215) 963-5299

E-Mail: kdoyle@morganlewis.com

Attorney for Applicants

☒ Customer Number or Bar Code Label: **028977**

KD/kck
Enclosures